

# Cardiopulmonary Exercise Testing in Athletes; a case-based review

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## Abstract

Cardiopulmonary exercise testing (CPET) is recommended in pre-participation evaluation of athletes to measure their cardiorespiratory fitness and maximal exercise tolerance. It is used for the diagnosis of probable cardiovascular and pulmonary disease and for the investigation of possible risks in sports. In case of non-specific symptoms during exercise, which are frequent in athletes, CPET is useful in the evaluation of physiological responses of body organ systems to exercise. This case-based review demonstrate the potential of CPET in the assessment of fatigue and loss of performance in a competitive professional athlete.

Keywords: Cardiopulmonary exercise testing, early fatigue, overtraining, ventilatory threshold

## Zusammenfassung

Herz-Lungen-Belastungstests (CPET) werden in der Beurteilung von Athleten vor der Aufnahme eines regelmässigen Trainings empfohlen, um ihre kardiorespiratorische Fitness und maximale Belastungstoleranz zu messen. Zudem wird die Untersuchung zur Diagnose von möglichen Herz-Kreislauf- und Lungenerkrankungen sowie zur Untersuchung möglicher Risiken im Sport eingesetzt. Bei unspezifischen Symptomen während des Trainings, die bei Sportlern häufig auftreten, ist CPET bei der Bewertung der physiologischen Reaktionen von Körperorgansystemen auf körperliches Training hilfreich. Diese Fallstudie zeigt das Potenzial von CPET in der Beurteilung von Müdigkeit und Leistungsverlust bei einem professionellen Leistungssportler auf.

Schlüsselwörter: Spiroergometrie, Leistungsknick, Übertraining, Schwellenbereiche

## Introduction

Cardiopulmonary exercise testing (CPET) is a well-accepted method to measure cardiorespiratory fitness and prescribe the precise intensity of exercise in a highly individualized manner [1] especially in elite athletes. The growing volume of evidence supports the use of CPET in pre-participation evaluation of athletes and diagnosis of possible cardiovascular and/or pulmonary impairments in the presence of early fatigue and exercise intolerance [2,3]. As CPET provides an integrated assessment of multiple organ systems during exercise [4], it might be used to evaluate dynamic physiological impairments to exercise.

Competitive athletes commonly intensify their training load before important tournaments and they might mistakenly have inadequate recovery in an attempt to enhance performance. As a result, they may experience some feelings of early fatigue or decreased performance especially in response to an intense training session that is stressful psychologically and could shift them towards performance enhancing drugs. Cardiopulmonary exercise testing is helpful in the assessment of these symptoms and could potentially differentiate some altered physiological responses to exercise with disease states.

In this case-based review, a complete workup of an athlete with early fatigue and loss of performance will be discussed with special focus on his CPET results and treatment strategy. The main aim of this paper is to put forward and expand the potential applications of CPET in the evaluation of physiologic responses to exercise in the athletic population.

## Case presentation

A 28 years old male professional kickboxer presented with early fatigue and performance decline over the past 4 weeks. He had more than 11 years professional carrier in kickboxing with multiple championships in different competitions. Preparing for a very important international tournament at next month, he had an intense training program with a proper balanced diet in the last few months.

He complained of early muscular fatigue like his previous experiences of lactate accumulation and loss of performance without any other symptoms. He had a negative past history and family history of certain diseases with normal primary physical examination at rest. In other words, he had just an elevated rating of perceived exertion (RPE) and poor performance in his training sessions, recently.

## Work-up

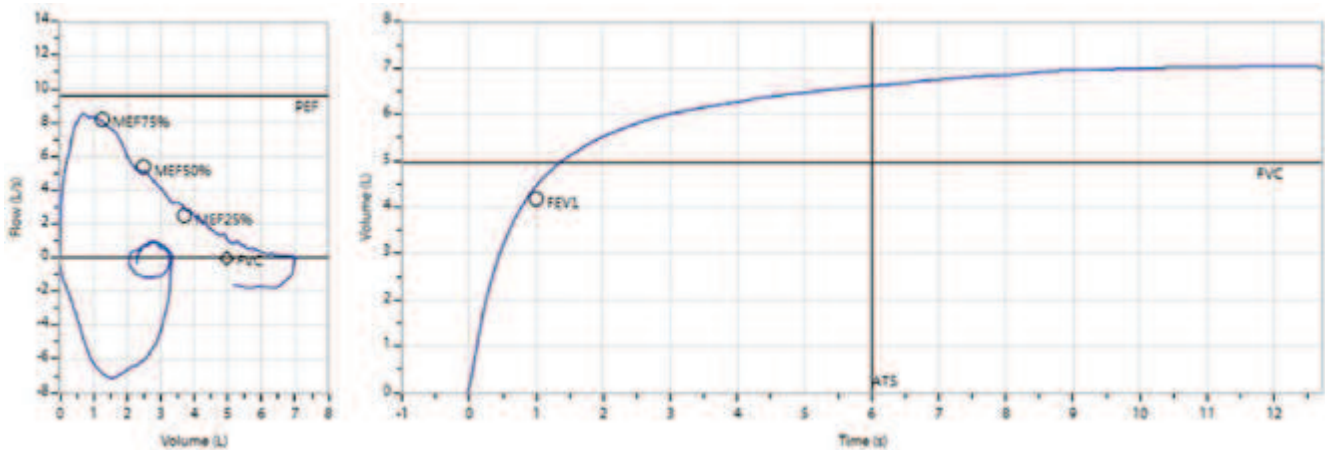
There is a long list of differential diagnoses for fatigue and as well, the work-up of a professional athlete with fatigue could be challenging. Many diseases including cardiac, pulmonary, metabolic, endocrine, infectious and hematologic disorders along with psychological problems are mentioned [5]. Overtraining syndrome is another cause of fatigue in athletes and the definitive diagnosis always requires the exclusion of organic diseases with several confounding factors [6].

Some laboratory tests to diagnose specific diseases are listed in table 1. Laboratory tests are used to confirm some hematologic, infectious and metabolic diseases or to assess endocrine causes of fatigue [5].

Laboratory tests including hematology, biochemistry, hormone and urine analyses were unremarkable. The majority of the blood parameters (e.g. glucose, blood count, urea, creatinine, ferritin, liver enzymes, erythrocyte sedimentation rate, C-reactive protein, creatine kinase, sodium and potassium) are not able to detect the precise etiology of fatigue but they are helpful for the assessment of the health status of the athlete and useful in the exclusion diagnosis [6].

Test	Result	Reference Range
<b>Hematology</b>		
White blood cell (x1000/mic)	6.5	4,4–11
– Neutrophils (%)	67.5	
– Lymphocyte (%)	26.9	
– Mix (%)	5.6	
Red blood cell (xmillion/m)	4.79	4.5–5.9
Hemoglobin (g/dL)	14.1	14–17.5
Hematocrite (%)	42.5	41.5–50.4
Mean Corpuscular Volume (fL)	88.7	80–96
Mean Cell Hemoglobine (pg)	29.4	27.5–33.2
Platelets (x1000/mic)	272	150–450
Red cell Distribution Width (%)	13.4	11.6–14.6
ESR (mm/hr)	6	< 15
<b>Biochemistry</b>		
Fasting Blood Sugar (mg/dl)	85	70–110
Uric Acid (mg/dl)	5.4	3.6–8.2
Triglycerides (mg/dl)	132	10–190
Cholesterol (mg/dl)	255	130–200
– LDL-chol (mg/dl)	144	
– HDL-chol (mg/dl)	74	
Creatinine (mg/dl)	1.2	0.7–1.4
Sodium (mEq/L)	138	135–145
Potassium (mEq/L)	3.9	3.8–5
Calcium (mg/dl)	9.7	8.6–10.3
SGOT (AST) (IU/L)	42	Up to 37
SGPT (ALT) (IU/L)	51	Up to 41
Alkaline phosphatase (IU/L)	192	80–306
LDH (IU/L)	348	5–480
<b>Hormone Analysis</b>		
Testosterone (ng/mL)	3.2	2.8–8.0
Cortisol 8 am (ng/mL)	83.2	54.94–287.56
TSH (micIU/ml)	1.49	0.27–4.2
T4 (micg/dL)	4.98	5.52–12.6
PTH (pg/mL)	18	15–65
<b>25 OH Vitamin D3</b> (ng/mL)	38.8	29–100

**Table 1:** Laboratory tests of the athlete



**Figure 1:** Resting pulmonary function test

Pulmonary diseases can have a remarkable effect on exercise capacity [7] and athletic performance. Pulmonary function testing is used to measure baseline lung function, detect pulmonary disease and evaluate respiratory impairments. Spirometry results of the athlete are depicted in figure 1 and table 2.

Cough, shortness of breath and chest tightness are the main symptoms of pulmonary diseases but exercise related fatigue and decreased performance might be among the complaints of these athletes (5).

Parameters	Meas.	Pred	% Pred
FVC (L)	7.08	4.95	143
FEV1 (L)	4.48	4.18	107
FEV1/FVC	63.40	82.20	77
PEF (L/s)	8.56	9.63	89

**Table 2:** Spirometry results from a maximally forced expiratory effort

In general, the pulmonary function test was within normal range except the FEV1/FVC of 63.4%, which is below the normal limits. It might be due to a mild obstruction disproportionate to the athlete's complaints.

### Cardiopulmonary Exercise Test

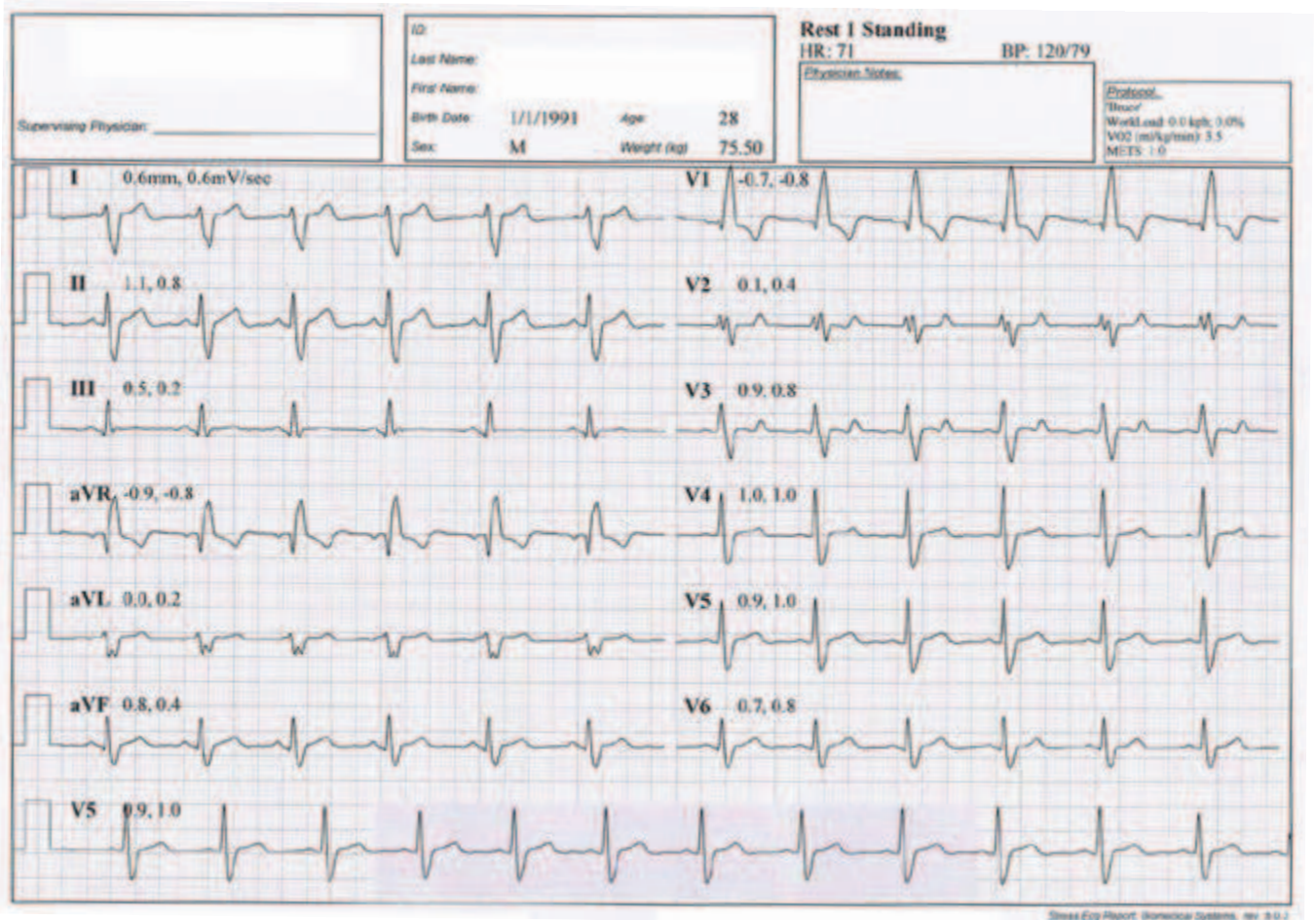
Cardiopulmonary exercise testing provides valuable information regarding gas exchange at rest, during exercise and recovery and adds breath-by-breath measures of oxygen uptake ( $\text{VO}_2$ ), carbon dioxide output ( $\text{VCO}_2$ ) and minute ventilation (VE) to the standard variables obtainable from conventional exercise testing [8,9]. Important parameters provided by CPET are peak oxygen uptake, ventilatory threshold, respiratory exchange ratio, oxygen pulse, minute ventilation to carbon dioxide production slope and partial pressure of end-tidal carbon dioxide. Depending on the clinical setting and the indication of CPET in the individual subject, such as in the evaluation of exercise intolerance, the relevant parameters have to be considered.

The resting electrocardiography (ECG) of the athlete at the beginning of the exercise test is shown on figure 2 and the CPET parameters are summarized on table 3.

As it is evident, the resting ECG shows complete right bundle branch block (RBBB) pattern along with right axis deviation of more than 120 degrees. According to the international criteria for ECG interpretation in athletes, two borderline findings warrant additional investigation for pathologic cardiovascular disorders associated with sudden cardiac death in athletes [10]. In this regard, echocardiogram is recommended to find potential myocardial disease. Echocardiography of the athlete was normal and compatible with athlete's heart with mild to moderate left ventricular hypertrophy and high normal right ventricular size and function.

Variable	value	reference
Peak $\text{VO}_2$ (ml/kg/min)	51.72	45.02
Percent predicted peak $\text{VO}_2$ (%)	114.88	
Ventilatory threshold (VT) (ml/kg/min)	27.57	
VT (% of $\text{VO}_2$ max)	53.3	≈ 50–65
Peak respiratory exchange ratio	1.03	≥ 1.1
VE/ $\text{VCO}_2$ slope	27.8	< 30
$\text{P}_{\text{ET}}\text{CO}_2$ rest (mmHg)	37	36–42
$\text{P}_{\text{ET}}\text{CO}_2$ at VT level (mmHg)	45	Increases 3–8
VE/ $\text{VO}_2$ at peak	29.6	≤ 40
VE/MVV	0.64	≤ 0.8
$\text{O}_2$ pulse max (mL/beat)	22.02	17.77
METs max	14.77	
HR max (beat/min)	178	192
HR recovery at 1 min (beat/min)	39	> 12
Blood Pressure max (mmHg)	222/94	SBP ~ 210

**Table 3:** Cardiopulmonary exercise test parameters



**Figure 2:** Resting electrocardiography

Cardiorespiratory fitness of the athlete expressed by peak  $\text{VO}_2$  is almost 115% of the predicted value, which is above the normal level and is expected from a professional athlete especially during an intense training period before the tournament. At first glance, all the other variables are within the normal range based on the EACPR/AHA joint scientific statement for CPET assessment [1]. The line and scatter charts of the CPET are shown on figure 3.

The clinical specific conditions, test indication and population under investigation are important components for CPET interpretation based on current scientific evidence. Closer look at CPET data reveals that the ventilatory threshold (VT) of the athlete is at 53.3% of peak  $\text{VO}_2$  that is normal in healthy untrained subjects but too low for a competitive professional athlete.

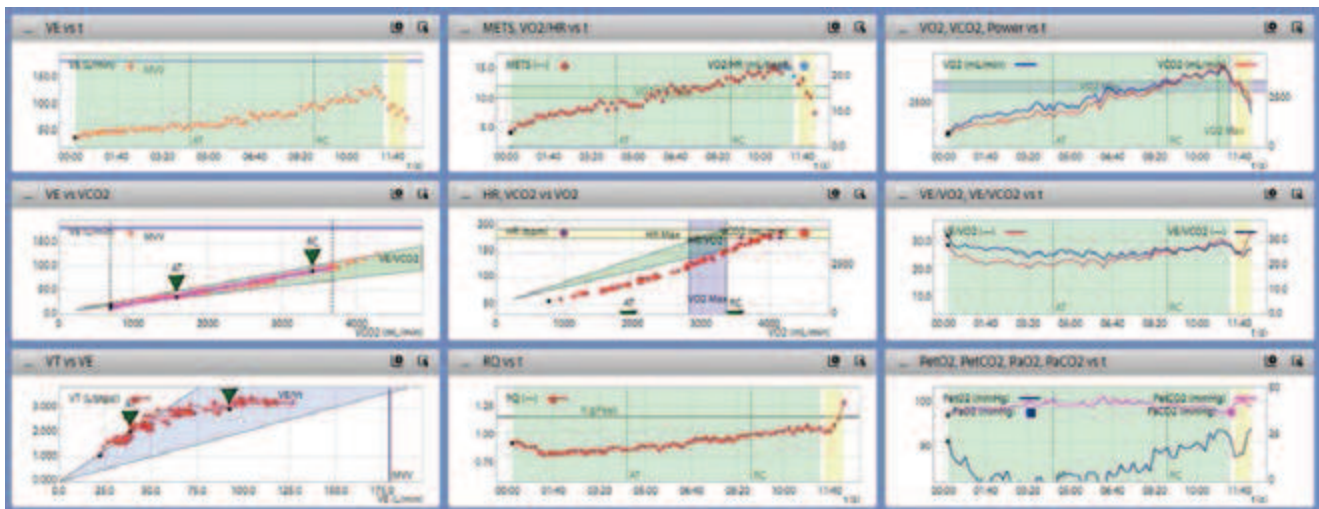
There is controversy surrounding the mechanisms responsible for the VT nonetheless, it coincides with the lactate threshold during exercise testing. Ventilatory threshold typically corresponds to  $55 \pm 8\%$  of the peak  $\text{VO}_2$  in healthy untrained individuals. However, it generally occurs at a higher percentage of exercise capacity (i.e. 70–90%) in physically trained athletes [11]. The low VT level in our athlete means that exercise intensities of more than just 53.3% of his peak  $\text{VO}_2$  induce a significant increase in blood lactate and might cause muscle fatigue.

## Discussion

This case-based review remarks the application of CPET in athletes and emphasizes the importance of special considerations in its interpretation in an athletic population. As a well-accepted procedure used for the investigation of cardiac and pulmonary disorders, CPET is expounded on the response of particular functional indices, such as the peak  $\text{VO}_2$  and VT to incremental exercise. Knowledge of the normal responses is necessary for the precise interpretation, but the reference values have to be considered with respect to sex, age, body features and physical activity status [12]. Athletes with intense conditioning represent substantial cardiopulmonary adaptations to exercise [13] and need distinct attention concerning their physiological assessments.

Investigations to find the exact etiology of fatigue and decreased performance in athletes are challenging and despite great interest in the topic of fatigue, surprisingly little is known about its impact on performance [14]. Managing fatigue in athletes is important, because it monitors the athlete's load in terms of the measures which offer insights whether they are adapting positively or negatively to the stresses of training and competition [15]. There are various maximal performance assessment tests to quantify the rate of recovery and performance in athletes.

Optimizing the recovery-stress cycle is crucial for desirable performance in elite athletes and it is recommended that this cycle is continuously monitored during the training process [16]. Excessive volume or intensity of exercise beyond



**Figure 3:** Line and scatter charts of the CPET

the limits of the athlete's physical ability results in decreased sport-specific athletic performance. In this state, he or she can be considered overtrained or overreached [17]. Overreaching (OR) and overtraining (OT) are on the same spectrum that can lead to overtraining syndrome (OTS). Functional OR can be affected with positive physiological adaptations and performance improvements after short-term recovery (3 to 14 days) [18]. Non-functional OR and OT are intricate and need longer recovery period.

Blood lactate measurements can be dependent on the training status of athletes. Muscle and liver glycogen status are also other important factors [6]. Lactic acid is introduced as a fatigue agent and blood lactate measurements help to interpret an athlete's resistance to fatigue during high intensity exercise. Accumulation of lactate in working muscles causes inhibition of contractile processes and results in diminished exercise performance [19]. As previously discussed in this paper, ventilatory threshold corresponds with the lactate threshold, so it might be used as a surrogate for blood lactate measurements in the assessment of fatigue and reduced performance in athletes.

The ventilatory threshold of the mentioned athlete in this paper was at 53.3% of peak  $\text{VO}_2$ , which is too low for professional competitive athletes. It means that above this level of exercise, blood lactate is rapidly accumulated which reflects increases in muscle acidity and rapid glycogen depletion through anaerobic glycolysis. These factors strongly contribute to fatigue and reduced exercise performance [19].

Finally, after about 3 weeks of rest and recovery, the athlete went to the international kickboxing tournament and won the competition. In justifying, we can hypothesize that intense training in the preparation phase of the athlete resulted in some levels of OR with early fatigue and reduced performance. Decreased VT, which is not expected from this professional athlete might be the result of OR state with improved performance after recovery. Cardiopulmonary exercise testing is recommended for future research purposes in the evaluation of such athletes.

### Conclusion and summary

- Cardiopulmonary exercise testing is used for the diagnosis and prognosis of cardiac and pulmonary disease in athletes and investigation of possible risks in sports.
- Additionally, it is a well-accepted tool for assessment of the physiological responses of body organ systems to training and exercise.
- Athletes with non-specific symptoms during exercise could be evaluated well with CPET.
- Interpretation of the CPET results in athletic population requires special attention, because the expected values are different from healthy untrained individuals.

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